

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

-----X	
In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP)
	: (Jointly Administered)
Debtors.	:
	:
-----X	Ref. Docket Nos. 36636, 36639, 36649, 36689, 36757, 36758, 36764

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On April 25, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
30th day of April, 2013
/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: SMBC NIKKO SECURITIES INC.
TRANSFEROR: MAYBI, KABUSHIKIGAISHA
NOBUHIRO MATSUMOTO, GENERAL MANAGER
3-1 MARUNOUCHI 3-CHOME
CHIYODA-KU
TOKYO 1008325 JAPAN

Additional:

Transferee: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P.
SMITH MANAGEMENT L.L.C.
ALDEN GLOBAL CAPITAL
885 THIRD AVE., 34TH FLOOR
NEW YORK NY 10022

Your transfer of claim # 40797 is defective for the reason(s) checked below:

Other Claim not owned by Seller noted within Transfer Notice

Docket Number 36636

Date 04/17/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 25, 2013.

EXHIBIT B

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P.	SMITH MANAGEMENT L.L.C. ALDEN GLOBAL CAPITAL 885 THIRD AVE., 34TH FLOOR NEW YORK NY 10022
BETHMANN BANK AG	BETHMANNSTRABE 7-9 FRANKFURT AM MAIN 60311 GERMANY
FLEMMING, UWE	BOHNSTEDTSTRASSE 9 BERLIN 12309 GERMANY
FLEMMING, UWE	BOHNSTEDTSTRASSE 9 BERLIN 12309 GERMANY
ILLIQUIDX LLP	ATTN: M. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX LLP	ATTN; M. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX LLP	ATTN; M. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
RUNTE, BERND	ALTE RENNBahn 35 BUCHHOLZ D-21244 GERMANY
ISMC NIKKO SECURITIES INC.	TRANSFEROR: MAYBI, KABUSHIKIGAISHA NOBUHIRO MATSUMOTO, GENERAL MANAGER 3-1 MARUNOUCHI 3-CHOME CHIYODA-KU TOKYO 1008325 JAPAN
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: DEKKER, ING P. KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: ERVEN VAN TULLEMANS L.H. KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: FLEMING, UWE ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: INCOR N.V. KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: KOOMEN-METRXX, H.C.M. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: OPERUM B.V. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: R. EN. R. HOLDING B.V. KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: STICHTING HUIZE BEUKESTEIN ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IMAX VALUE FOUNDATION	TRANSFEROR: VAN BALLEGOOYEN, D.A. KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
YORVIK CAPITAL LTD	TRANSFEROR: YORVIK PARTNERS LLP 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM
YORVIK PARTNERS LLP	ATTN: LISA KING 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM

EPIQ BANKRUPTCY SOLUTIONS, LLC